



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3627  
41

In re Application of: Robert J. Gallagher      Docket No.: 100110474-2  
Application No. : 09/995,318      Group Art Unit: 3627  
Filed: 11/26/2001      Examiner: Zeender, Florian M.  
Title: Intelligent Apparatus, System And Method For Financial Data Computation  
And Analysis

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

**RESPONSE TO RESTRICTION REQUIREMENT PER 35 U.S.C. §121**

Please consider the following which is submitted in connection with an Office Action, mailed **09 July 2004** for the above-identified patent application.

**ELECTION OF CLAIMS**

Applicant hereby elects the Claims of **Specie V (Claims 1 - 3, 9 - 11, & 17 - 18)** without traverse for examination on the merits.

**CLAIMS WITHDRAWN FROM CONSIDERATION**

**Claims 4 - 8, 12 - 16, and 19** are hereby withdrawn from consideration without prejudice or disclaimer.

06/04/2004 SDIRETA1 00000063 062025 09995318

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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Robert J Gallagher

Confirmation No.: 9510

Application No.: 09/995318

Examiner:

Filing Date: Nov 26, 2001

Group Art Unit:

Title: Intelligent Apparatus, System And Method For Financial Data Computation And Analysis



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Commissioner for Patents  
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TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- (X) Response/Amendment ( ) Petition to extend time to respond  
( ) New fee as calculated below ( ) Supplemental Declaration  
( ) No additional fee  
(X) Other: pay for one additional indep. claims (fee \$ 86.00 )

| CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY       |  |                        |  |                         |             |                           |
|--|--|------------------------|--|-------------------------|-------------|---------------------------|
| (1)<br>FOR   | (2)<br>CLAIMS REMAINING<br>AFTER AMENDMENT | (3)<br>NUMBER<br>EXTRA | (4)<br>HIGHEST NUMBER<br>PREVIOUSLY PAID FOR | (5)<br>PRESENT<br>EXTRA | (6)<br>RATE | (7)<br>ADDITIONAL<br>FEES |
| TOTAL<br>CLAIMS                                      | 8  | MINUS                  | 20   | = 0                     | X \$18      | \$ 0                      |
| INDEP.<br>CLAIMS                                     | 8  | MINUS                  | 15   | = 0                     | X \$86      | \$ 0                      |
| [ ] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM |  |                        |  |                         | + \$280     | \$ 0                      |
| EXTENSION<br>FEE                                     | 1ST MONTH<br>\$110.00                      | 2ND MONTH<br>\$420.00  | 3RD MONTH<br>\$950.00                        | 4TH MONTH<br>\$1480.00  |             | \$ 0                      |
| OTHER FEES   |  |                        |  |                         |             | \$ 86                     |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT              |  |                        |  |                         |             | \$ 86                     |

Charge \$ 86 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Date of Deposit: July 30, 2004

Typed Name: Alba P Escobar

Signature:

Respectfully submitted,

By

Trueman H Denny III

Attorney/Agent for Applicant(s)  
Reg. No. 44652

Date: July 30, 2004